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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:

Smalley et al.

Serial No.:

10/032,933

Filed:

December 28, 2001

Confirmation No.:

9922

Art Unit:

1754

Examiner:

Stuart L. Hendrickson

Title: METHOD FOR PURIFICATION OF As-PRODUCED SINGLE-WALL CARBON

Nanotubes

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia

22313-1450, April 5, 2004.

GRACIE SOLIS

AMENDMENT UNDER 37 C.F.R. § 1.111

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

This is in response to the Office Action having a mailing date of January 5, 2004, with a three-month shortened statutory period for response set to expire on April 5, 2004.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.

04/12/2004 KBETEMA1 00000068 10032933 01 FC:2202 9.00 OP 02 FC:2201 43.00 OP